"PATENT"

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

107712,512

Confirmation No. 8931

Applicant Filed

Pavel Holub 11/13/2003

Art Unit

8323662586

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Examiner

Sanders, Kriellion Antionette

Docket No. Customer No: : 80045 33249

Date

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Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

## DECLARATION PURSUANT TO 37 C.F.R. § 1.132

Sir:

## I, Pavel Holub, declare and state;

- 1. I have been employed by Hexion Specialty Chemicals, Inc. or its prodecessor company, Resolution Specialty Chemicals, where my title is currently "Research and Development Manager" since 1998.
- 2. My educational background includes an equivalent of a Masters of Science in Polymer Chemistry and Technology obtained from the Institute of Chemical Technology, in Prague, Czech Republic.
- 3. I am an inventor or co-inventor on at least 2 U.S. parents relating to adhesives and polymers.

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- 4. I am an author of at least 5 technical papers dealing with emulsion polymers and polymerization presented at regional and global events.
- 5. I am a member of the advisory board of the "Stichting Emulsion Polymerization" at Technical University in Eindhoven, the Netherlands.
  - 6. I am an inventor of the invention claimed in the above-identified application.
- 7. The dispersion of the present application produces a product different from that of Desor et al., and such differences are attributable to the reversion of the process stages involved. The present application produces a product with improved hot block-resistance, gloss on glass, and film formation at low terriperatures over Desor et al.
- 8. Different block resistance and film formation of a polymer can be used to indicate different polymer products.
- 9. Different morphology of "ltard" and "soft" domains in multistage polymerization processes produces different polymers. Polymerization of a "hard" monomer contributes to the block resistance of the polymer. Polymerization of a "soft" monomer contributes to the film formation of the polymer.
- 10. One expects properties such as good block resistance but poor film formation when a polymer is made with a first stage polymerization of a "soft" monomer and a second stage polymerization of a "hard" monomer. The polymer of Desor et al., demonstrates, as expected, the properties of poor film formation.
- 11. One expects properties such as good film formation but poor block resistance when a polymer is made with a first stage polymerization of a "hard" monomer and a second stage polymerization of a "soft" monomer. In contrast, the present application unexpectedly demonstrates the properties of good block resistance.
- 12. A reversion of the process stages of Desor et al., unexpectedly produces a product with properties such as improved hot block-resistance, gloss on glass, and film formation at low temperatures.

- 13. Desor et al., U.S. Patent No. 6,005,042 teaches a first stage polymerization of a "'soft" monomer and a second stage polymerization of a "hard" monomer.
- 14. The present application teaches and claims a first stage polymerization of a "hard" monomer and a second stage polymerization of a "soft" monomer.
- 15. I supervised the testing of example 1 in the specification of the current application, which imitates the "hard" to "soft" polymer of the present application.
- 16. I supervised the testing of example 3 in the specification of the current application, which imitates the "soft" to "hard" polymer of Desor et al.
- 17. I observed that hot block-resistance and film formation at low temperatures of a transparent coating composition are improved with the "hard" to "soft" polymer, imitating the polymer of the present application, compared to the "soft" to "hard" polymer, imitating the polymer of Desor *et al.* The specification of the current application provides the data for the summary of results presented below.

Table 1

	Example I "hard" to "soft" polymer	Example 3 "soft" to "hard" polymer
Hot-Block Resistance 125g/cm <sup>2</sup> - 6 hours at 50°C	10	5
Film formation at low temperature (5°C/50% R.H.)	Excellent	Good

18. I observed that hot block-resistance, gloss on glass, and film formation at low temperatures of a solvent-free white gloss paint are improved with the "hard" to "soff" polymer, imitating the polymer of the present application, compared to the "soff" to "bard" polymer, imitating the polymer of Desor et al. The specification of the current application provides the data for the summary of results presented below.

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Table 2

	Example 1 "hard" to "soft" polymer	Example 3 "soft" to "hard" polymer
Hot-Block Resistance 125g/cm² – 6 hours at 50°C	10	5
Gloss on glass (at 60°/20°)	82/53%	77/46%
Film formation at low temperature (5°C/50% R.H.)	Extellent	Good

19. The differences between Example 1, the "hard" to "soft" polymer, and Example 3, the "soft" to "hard" polymer, are attributable to the reversion of the process stages involved. The "hard" to "soft" polymer of the present application provides a different product with improved properties such as hot block-resistance, gloss on glass, and film formation at low temperatures over a polymer made with the "soft" to "hard" process of Desor et al.

20. I further declare that all statements and representations made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements and representations were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued therefrom.

04/30/2007

Dated

Pavel Hofish

Research and Development Manager Hexion Specialty Chemicals, Inc.